

Applicant Name & Federal ID #:

Evaluator:

Date:

**CONSTRUCTION PREQUALIFICATION SCORING MATRIX**

**EVALUATION CRITERIA**

MAX SCORE	MIN SCORE
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CATEGORY A		TRUE	FALSE
<i>If the applicant does not meet all of the following, the applicant must be deemed prequalified <u>not responsible</u>.</i>			
1	<b>WORKERS' COMPENSATION POLICY.</b> Has a current and valid workers' compensation insurance policy <u>or</u> is legally self-insured.	<input type="checkbox"/>	<input type="checkbox"/>
2	<b>UNEMPLOYMENT COMPENSATION POLICY.</b> Has a current and valid unemployment compensation insurance policy.	<input type="checkbox"/>	<input type="checkbox"/>
3	<b>BONDING.</b> Has provided either a notarized letter from its surety company regarding its bonding capacity <u>or</u> an affidavit affirming it will provide a check or letter of credit at time of bid.	<input type="checkbox"/>	<input type="checkbox"/>
4	<b>FINANCIAL STATEMENTS.</b> Has signed an affidavit agreeing to make the business entity's financial statement for the most recently completed fiscal year available to the city upon request.	<input type="checkbox"/>	<input type="checkbox"/>
5	<b>DEBARMENT.</b> Is <b>not</b> currently debarred or otherwise disqualified from bidding or working on any government agency or public works project.	<input type="checkbox"/>	<input type="checkbox"/>
6	<b>CITY TAXES.</b> Is current and compliant with, or complying with an agreement to pay any delinquency for, city taxes on payroll and net profits.	<input type="checkbox"/>	<input type="checkbox"/>

CATEGORY B		TRUE	FALSE	SCORE
"true" = 10 pts.; "false" = 0 pts.				
<i>Does applicant meet at least 3 of the 5? If not, the applicant is deemed prequalified <u>not responsible</u>.</i>				
7	<b>LOCAL WORKFORCE.</b> Has a local workforce.	<input type="checkbox"/>	<input type="checkbox"/>	
8	<b>QUALITY TRAINING.</b> Has quality training.	<input type="checkbox"/>	<input type="checkbox"/>	
9	<b>HEALTH INSURANCE.</b> Provides health insurance.	<input type="checkbox"/>	<input type="checkbox"/>	
10	<b>RETIREMENT/PENSION.</b> Provides a retirement or pension benefit.	<input type="checkbox"/>	<input type="checkbox"/>	
11	<b>LOCAL BUSINESS.</b> Is a local business.	<input type="checkbox"/>	<input type="checkbox"/>	
<b>Category B Subtotal</b>				<b>0</b>

CATEGORY C		TRUE	FALSE	SCORE
"true" = 6 points; "false" = 0 points				
12	<b>DEBARMENT.</b> Has <b>not</b> been debarred or otherwise disqualified from bidding or working on any government agency or public works project in the last five (5) years.	<input type="checkbox"/>	<input type="checkbox"/>	
13	<b>CRIMINAL CONVICTION.</b> Has received <b>no</b> unsatisfactory judgment for a crime related to its business conduct in the last ten (10) years.	<input type="checkbox"/>	<input type="checkbox"/>	
14	<b>CIVIL LIABILITY.</b> Has received <b>no</b> unsatisfactory judgment leading to civil liability for its bids/work in the last five (5) years.	<input type="checkbox"/>	<input type="checkbox"/>	
15	<b>CITY LITIGATION.</b> Has <b>no</b> history of litigation being brought forth by the city in the last five (5) years.	<input type="checkbox"/>	<input type="checkbox"/>	
16	<b>BOND CLAIMS.</b> Has <b>no</b> record of claims against bonds in the last five (5) years.	<input type="checkbox"/>	<input type="checkbox"/>	
17	<b>LIQUIDATED DAMAGES.</b> Has <b>not</b> been assessed or paid no liquidated damages in the last five (5) years.	<input type="checkbox"/>	<input type="checkbox"/>	
18	<b>NON-DISCRIMINATION.</b> Has <b>no</b> record of unsatisfactory judgment with any applicable affirmative action programs, or local, state or federal laws prohibiting discrimination against job applicants or employees, within the last five (5) years.	<input type="checkbox"/>	<input type="checkbox"/>	

UNSATISFACTORY JUDGMENT WITH APPLICABLE LAWS		TRUE	FALSE	SCORE
19	Has <b>no</b> record of unsatisfactory judgment with any applicable federal, state and local laws and regulations in the last five (5) years.	<input type="checkbox"/>	<input type="checkbox"/>	

**INSTRUCTIONS:** For any of the following criteria that reference violations, citations or assessments, use the following to determine points awarded:  
**0 - 1 incident or violation = 10 pts.; 2-4 incidents or violations = 5 pts.; 5 or more incidents or violations = 0 pts.**

19a	<b>LABOR STANDARDS.</b> Does <b>not</b> have a record of unsatisfactory judgment with the Fair Labor Standards Act.	<input type="checkbox"/>	<input type="checkbox"/>	
19b	<b>PREVAILING WAGE.</b> Has <b>no</b> record of unsatisfactory judgment with Prevailing Wage Laws, including more than one occasion on which applicant was required to pay either back wages or penalties for its failure to comply with the prevailing wage laws.	<input type="checkbox"/>	<input type="checkbox"/>	
19c	<b>UNEMPLOYMENT COMPENSATION.</b> Has <b>no</b> record of unsatisfactory judgment with Unemployment Compensation Laws.	<input type="checkbox"/>	<input type="checkbox"/>	
19d	<b>WORKERS' COMPENSATION.</b> Has <b>no</b> record of unsatisfactory judgment with Workers' Compensation Laws or has not had a period when applicant had employees but didn't have workers' compensation insurance or state-approved self-insurance.	<input type="checkbox"/>	<input type="checkbox"/>	
19e	<b>OSHA UNSATISFACTORY JUDGMENT.</b> Has <b>no</b> record of "Willful" or "Serious" Occupational Health and Safety Administration (OSHA) violations.	<input type="checkbox"/>	<input type="checkbox"/>	
19f	<b>OSHA PENALTIES.</b> Has <b>no</b> citations or assessed penalties by OSHA.	<input type="checkbox"/>	<input type="checkbox"/>	
19g	<b>LICENSES.</b> Has had <b>no</b> professional or trade license of any current employee revoked, or had its contractor's license revoked, in any jurisdiction.	<input type="checkbox"/>	<input type="checkbox"/>	
19h	<b>WORKER CLASSIFICATION.</b> Has <b>no</b> record of unsatisfactory judgment with state or federal laws regarding the classification of employees and independent contractors.	<input type="checkbox"/>	<input type="checkbox"/>	
19i	<b>WORKER IDENTIFICATION.</b> Has <b>no</b> record of unsatisfactory judgment related to immigration and naturalization.	<input type="checkbox"/>	<input type="checkbox"/>	
19j	<b>EPA.</b> Has <b>no</b> record of unsatisfactory judgment with OEPA or USEPA, including any citations and assessed penalties.	<input type="checkbox"/>	<input type="checkbox"/>	
<b>"true" = 2 points; "false" = 0 points</b>				
19k	<b>OSHA PLAN.</b> Has a health and safety plan as required by OSHA standards.	<input type="checkbox"/>	<input type="checkbox"/>	
19l	<b>OSHA LOG.</b> Has a OSHA 300 log under OSHA-29 CFR 1904?	<input type="checkbox"/>	<input type="checkbox"/>	
19m	<b>WORKERS' COMPENSATION.</b> Has an average Bureau of Workers' Compensation (BWC) Experience Modification Rating (EMR) of 1.5 or less.	<input type="checkbox"/>	<input type="checkbox"/>	
19n	<b>DRUG-FREE.</b> Has <b>no</b> record of unsatisfactory judgment with Ohio's Drug-Free Workplace requirements within the last five (5) years.	<input type="checkbox"/>	<input type="checkbox"/>	
<b>Category C Subtotal</b>				<b>0</b>

**Total Points (Categories B & C) 0**

**STATUS DETERMINATION**

Prequalified Responsible	200-151
Prequalified Provisionally Responsible	150-131
Prequalified Not Responsible	130 or less