

**Information to be included in all Legislation Modifying a Contract:**

1. **The names, contract compliance no. & expiration date, location by City/State and status of all companies (NPO, MAJ, MBE, FBE, HL1, AS1, or MBR) submitting a competitive bid or submitting an RFP or RFSQ.**

<u>Name</u>	<u>C.C. No./Exp. Date</u>	<u>City/State</u>	<u>Status</u>
A. DLZ Ohio, Inc.   311268980 / 03/10/2011   Columbus, OH   MAJ			
B. Owen Engineering & Management Consultants, Inc.   840893869 / Currently Inactive   Columbus, OH   MAJ			
C. Poggemeyer Design Group   341056617 / 03/17/2010   Westerville, OH   MAJ			
D. Prime Engineering & Architecture, Inc.   311373357 / 04/08/2010   Columbus, OH   FBE			
E. R. D. Zande & Associates, Inc.   311395123 (now acquired by Stantec Consulting Services, Inc., CCCN 112167170 / 01/07/2010)   Columbus, OH   MAJ			
F. W. V. Mathews Company   298382753 / Currently Inactive   Columbus, OH   MBE			

2. **What type of bidding process was used (ITB, RFP, RFSQ, Competitive Bid). RFSQ**

3. **List the ranking and order of all bidders.**

Statement of Qualifications ranking:

Prime Engineering & Architecture, Inc.  
R. D. Zande & Associates, Inc.  
DLZ Ohio, Inc.  
Owen Engineering & Management Consultants, Inc.  
Poggemeyer Design Group  
W. V. Mathews Company

Proposals Ranking:

R. D. Zande & Associates, Inc.  
DLZ Ohio, Inc.  
Prime Engineering & Architecture, Inc.

4. **The name, address, contact name, phone number and contract number of the firm awarded the original contract.**

R. D. Zande & Associates, Inc., 1500 Lake Shore Drive, Columbus, Ohio 43204 (now acquired by Stantec Consulting Services, Inc. and so referred to hereafter)  
Contact: Mark Hudak, P.E., (614) 486-4383  
Contract No.: EL006145

5. **A description of work performed to date as part of the contract and a full description of work to be performed during any future phasing of the contract.**

This professional services contract will provide engineering design services and services during construction for the Southerly Wastewater Treatment Plant Support Facilities project. This contract will implement under two phases, the necessary support facilities for maintenance personnel at the Southerly Wastewater Treatment Plant, and also provide revised support facilities for construction management.

Phase 1, Contract S77. This contract Work consists of the following:

1. Demolition and removal of existing facilities as required for renovation, construction, and repurposing of the Administration Building (ADM), Centrifuge Dewatering Building (CEN), Chemical Building (CHM), Raw Sewage Pump Building (PMP). This work includes material hazard abatement.
2. Renovations to the existing Centrifuge Dewatering Building (CEN) for repurpose as the Central Storage Building (CSB). This includes site, architectural, structural, mechanical, and electrical work.
3. Demolition and removal of the existing Parts Storage Building Number 2 (PS2).
4. Construction of the Oil and Lubricant Storage Building (OLS). This includes site, architectural, structural, mechanical, and electrical work.
5. Renovations to the existing Chemical Building (CHM) for repurpose as the Maintenance Operations Center (MOC). This includes site, architectural, structural, mechanical, and electrical work.
6. Renovations to the existing Administration Building (ADM). This includes site, architectural, structural, mechanical, and electrical work.
7. Renovations to the existing Raw Sewage Pump Building (PMP). This includes electrical work.

Phase 2, Second Construction Contract. This contract Work consists of the following:

1. Renovation of: the Screen Building (SCN) for grade level reuse as the proposed Machine and Overhaul Shops (MAO), the existing Pump Building (PMP) for grade level reuse as the proposed Fabrication and Welding Shop (FAW), the existing Service Building (SEV) grade level for reuse as the Instrumentation and Control Shop, HVAC Shop, and Electrical Shop (IAE), the existing Service Building (SEV) basement for reuse as the Vehicle Maintenance Shop.
2. Demolition of the existing grit facilities (GR1 and GR2) for conversion to a parking area.
3. Removal of the aging SWWTP CMT Trailers that have reached the end of their useful life.

The actual emplacement of the work will be by construction contract. This engineering contract will provide preliminary and detailed design, bidding assistance and construction-phase engineering.

**6. An updated contract timeline to contract completion.**

Preliminary engineering design services have been completed. With the approval of Modification No. 1, Stantec proceeded with the Detailed Design services for Contract S77 in March, 2008. The design for S77 was completed and the construction contract bids were received on August 12, 2009. The construction work will be placed under contract as soon as possible, and the construction services will commence. The construction contract's final

completion is expected 540 days from the date that a Notice To Proceed (NTP) is given by the City.

The Phase 2 construction contract for this project is planned to begin design in 2011 with the bid and award scheduled in 2012.

Stantec's services will continue beyond completion of construction to provide "as-built" record drawings of the constructed facilities.

7. **A description of any and all modifications to date including the amounts of each modification and the Contract Number associated with any modification to date. (List each modification separately.)**

ORIGINAL CONTRACT: \$511,644.00 (EL006145)

Funding provided for Step 1 - Preliminary Design services for project.

MODIFICATION NO. 1: \$2,765,117.00 (EL007798)

This modification provided \$2,765,117.00 of funding for Step 2 - Detailed Design services for both project phases.

8. **A full description of the work to be performed as part of the proposed contract modification. (Indicating the work to be a logical extension of the contract is not sufficient explanation.)**

PROPOSED MODIFICATION NO. 2: \$859,263.00

This planned modification provides \$859,263.00 of funding for Services During Construction tasks for Contract S77.

9. **If the contract modification was not anticipated and explained in the original contract legislation a full explanation as to the reasons the work could not have been anticipated is required. (Changed or field conditions is not sufficient explanation. Describe in full the changed conditions that require modification of the contract scope and amount.)**

This Contract Modification No. 2 was planned and so stated in the original contract's legislation. It is a planned continuation of the services originally included within the existing contract's scope of service.

10. **An explanation of why the work to be performed as part of the contract modification cannot be bid out. (Indicating the work to be a logical extension of the contract is not sufficient explanation.)**

The funding provided by this contract modification is for continuation of the existing work of the contract. This work was planned for and anticipated within the original procurement, it is not reasonable or cost effective to undertake a new procurement to acquire these services.

11. **A cost summary to include the original contract amount, the cost of each modification to date (list each modification separately), the cost of the modification being requested in the legislation, the estimated cost of any future known modifications and a total estimate of the contract cost.**

Original Contract

\$ 511,644.00

Modification No. 1	\$2,765,117.00
Proposed Modification No. 2	\$ 859,263.00
Future Modification No. 3 (estimated 2012 funding)	\$ 786,000.00
<b>CURRENT PROPOSED TOTAL</b>	<b>\$4,922,044.00</b>

**12. An explanation of how the cost of the modification was determined.**

The cost of this contract modification is consistent with the direct labor, overhead, and profit rates established within the original proposal. The estimate of the quantity of labor required to complete the remaining scope of services is within the anticipated level of funding originally forecast.

**13. Sub-Consultants identified to work on this contract, their contract compliance no. & expiration date, and their status (NPO, MAJ, MBE, FBE, HL1, AS1, or MBR):**

<u>Name</u>	<u>C.C. No./Exp. Date</u>	<u>Status</u>
See attached Subcontracting and MBE/FBE Utilization Report, 9/24/09, and Subcontractor Contact Report, 9/24/09.		

**14. Scope of work for each subcontractor and their estimate of dollar value to be paid.**

See attached Subcontracting and MBE/FBE Utilization Report, 9/24/09, and Subcontractor Contact Report, 9/24/09.

Note: The Contract should be considered to include any and all work that is anticipated to be awarded to the company awarded the original contract throughout the contract/project timeline. This includes the original contract and any and all future anticipated modifications to the contract to complete the contract/project.

Updated as of 4-3-09 (JPM)