

Information to be included in all Legislation Modifying Contracts:

The names of all companies bidding or submitting an RFP or RFSQ for the original contract.

Advanced Waste Management Systems, Inc.
Perry Johnson Registrars, Inc.
The Registrar Company, Inc.
UL DQS, Inc.

The location by City and State of all companies bidding or submitting an RFP or RFSQ for the original contract.

Buffalo Grove, IL	UL DQS, Inc.
Troy, MI	Perry Johnson Registrars, Inc.
Hixson, TN	Advanced Waste Management Systems, Inc.
Richardson, TX	The Registrar Company, Inc.

The status, Majority, MBE, FBE, of all companies bidding or submitting an RFP or RFSQ for the original contract.

None of the offerors indicated MBE or FBE status with their proposal's CCCN discussions. One offeror, Advanced Waste Management, described itself as a "veteran owned small business".

The name and location of the firm awarded the original contract and the Contract Number.

Advanced Waste Management Systems - Hixson, TN (62-1249287)

A description of work performed to date as part of the contract and a full description of work to be performed during any future phasing of the contract.

The Department of Public Utilities ("DPU") DPU has developed and implemented an Environmental Management System (EMS) in accordance with the ISO 14001:2004 Standard. The Request for Proposal is for auditing and registration services from a Registrar so as to certify that the EMS conforms to the ISO 14001:2004 Standard. These services shall include:

- Initial Auditing of DPU's EMS and associated processes and services for conformance and registration to the ISO 14001:2004 Standard.
- Conducting two annual surveillance audits through the period of certification (3 years).
- Providing registration services.

An updated contract timeline to contract completion.

Services under this agreement are to be provided over a period of three years. Funds for the project shall be reviewed and approved each year of the three year contract by City Council and the Mayor, and Auditor's certification of funds. Notwithstanding any provision in this Agreement to the contrary, the maximum obligation of the City for services described in this agreement for the period commencing on August 1, 2014 through December 31, 2014 ("Phase 1") is limited to the amount of \$60,000.00, unless all the following occur: this Agreement is modified in writing; City Council enacts an ordinance approving the new amount; the Mayor has authorized the additional amount; and the Auditor has certified the additional funds. The City is not obligated to spend the maximum obligation authorized under this Agreement. Phase 2 costs under this agreement for the period from January 1, 2015 through December 31, 2015 are estimated at \$25,000.00. Phase 3 costs for the period from January 1, 2016 through December 31, 2016 are \$25,000.00.

A description of any and all modifications to date including the amounts of each modification and the Contract Number associated with any modification to date. (List each modification separately)

Modification #1: \$25,000.00, Pending

A full description of the work to be performed as part of the proposed contract modification. (Indicating the work to be a logical extension of the contract is not sufficient explanation)

This contract modification would consist of the same type of work described above.

If the contract modification was not anticipated and explained in the original contract legislation a full explanation as to the reasons the work could not have been anticipated is required. (Changed or field conditions is not sufficient explanation. Describe in full the changed conditions that require modification of the contract scope and amount.)

This modification to increase the maximum obligation was anticipated and is provided for in the original contract terms.

An explanation of why the work to be performed as part of the contract modification cannot be bid out. (Indicating the work to be a logical extension of the contract is not sufficient explanation)

This contract modification would consist of the same type of work described above.

A cost summary to include the original contract amount, the cost of each modification to date (List each modification separately), the cost of the modification being requested in the legislation, the estimated cost of any future known modifications and a total estimate of the contract cost.

Original Contract	\$60,000.00	EL016061
Modification One	\$25,000.00	Pending
Total Contract To Date	\$85,000.00	