

Information to be included in all Legislation Modifying a Contract:

1. **The names, contract compliance no. & expiration date, location by City/State and status of all companies (NPO, MAJ, MBE, FBE, HL1, AS1, or MBR) submitting a competitive bid or submitting an RFP or RFSO.**

<u>Name</u>	<u>C.C. No./Exp. Date</u>	<u>City/State</u>	<u>Status</u>
Brown & Caldwell Ohio, LLC	94-1446346 – 5/2/14	Dublin, Oh	MAJ
Woolpert Inc.	20-1391406 – 6/17/13	Dayton, Oh	MAJ
EMA Services, Inc.	41-1467091 – inactive	St. Paul, Mn	MAJ
Crowe Horwath LLP	35-0921680 – 10/31/13	Columbus, Oh	MAJ
Sogeti USA LLC	13-4180354 – 9/20/13	Columbus, Oh	MAJ
Perficient, Inc.	74-2853258 – inactive	Columbus, Oh	MAJ
CareWorks Technologies	31-1636465 – 11/6/14	Columbus, Oh	MAJ

2. **What type of bidding process was used (ITB, RFP, RFSO, Competitive Bid).**

Requests for Proposals (RFP's) were received on June 1, 2009.

3. **List the ranking and order of all bidders.**

- 1) Brown & Caldwell Ohio, LLC
- 2) Woolpert Inc.
- 3) EMA Services, Inc.
- 4) Crowe Horwath LLP
- 5) Sogeti USA LLC
- 6) Perficient, Inc.
- 7) CareWorks Technologies

4. **The name, address, contact name, phone number and contract number of the firm awarded the original contract.**

Brown & Caldwell Ohio, LLC
4700 Lakehurst Court
Dublin, OH 43016
Jay Madigan, Senior Consultant, C (614) 403-7723, Ph (614) 410-3080,
Kristen L. Atha, Vice President, katha@brwncald.com, C (614) 203-8964, Ph (614) 923-0858
Fax: 614-410-3088
EL010294/001-004 (original)
EL011904 (Mod #1)

5. **A description of work performed to date as part of the contract and a full description of work to be performed during any future phasing of the contract.**

The Department of Public Utilities (DPU) hired Brown and Caldwell in May 2010 to determine DPU's level of readiness for an Enterprise Application Integration (EAI) solution. The objective of the project was to look at options that allow software systems to share information to improve access to business data. DPU's goal was to evaluate options for pulling together the essential data DPU staff needed to perform their jobs. It was determined that DPU's level of readiness was low to moderate due to lack of data consolidation, repetition and cohesive organization.

Modification No. 1 was needed to improve DPU's level of readiness, improve chances of successful EAI implementation, and lower risk associated with lack of data control and organization. The consultant assisted DPU in developing a Business Intelligence System (BIS) Pilot.

6. **An updated contract timeline to contract completion.**

The Brown and Caldwell Team will conduct this scope over a period of 15 months upon contract approval.

7. **A narrative discussing the economic impact or economic advantages of the project; community outreach or input in the development of the project; and any environmental factors or advantages of the project.**

The Department of Public Utilities (DPU) currently supports a wide variety of electronic business support systems each serving customers throughout the four divisions. Many of these programs have overlapping data, users, technical criteria, and purposes. The Enterprise Application Integration system will help DPU achieve an optimal level of operational efficiency and will provide a data management environment that will foster the development of new and innovative tools for business analysis and management.

8. **A description of any and all modifications to date including the amounts of each modification and the Contract Number associated with any modification to date. (List each modification separately.)**

Modification No. 1 – Ord# 0720-2011, \$466,014.00, EL011904; see #5 above for description.

9. **A full description of the work to be performed as part of the proposed contract modification. (Indicating the work to be a logical extension of the contract is not sufficient explanation.)**

Phase 100 – Project Management Assistance

The BC Team's project management approach will facilitate the expansion of the EAI/BIS Pilot for DPU by providing:

- Support to DPU Project Manager
- Management of the EAI / BIS project using the Balanced Scorecard Strategic Framework
- Project planning and communication
- Monitoring, measuring, and correcting team and project performance
- Risk and change management

Phase 200 – Expanding the Pilot to Operational Status

The Brown and Caldwell Team will work with DPU, DOT and IBI to facilitate and document user requirements. This involves identifying data sources, building the data dictionary, and guiding development of dashboards for 10 strategic business processes.

Phase 300 – Acceptance Testing

The BC Team will provide testing support with respect to IBI's deliverables. This task will ensure that the EAI/BIS system is configured correctly to meet the needs of DPU's employees. The testing plans will be built based on the requirements specifications developed earlier in the project.

10. If the contract modification was not anticipated and explained in the original contract legislation a full explanation as to the reasons the work could not have been anticipated is required. (Changed or field conditions is not sufficient explanation. Describe in full the changed conditions that require modification of the contract scope and amount.)

As stated in Ordinance No. 0138-2010, this is a multi-phase agreement. The first phase included the study and recommendations for the EAI and the second phase includes the implementation of the EAI. Implementation could be either in whole or in selected instances at the discretion of the Steering Committee.

11. An explanation of why the work to be performed as part of the contract modification cannot be bid out. (Indicating the work to be a logical extension of the contract is not sufficient explanation.)

This was a planned contract modification identified in the original contracting legislation. The Brown and Caldwell Team is familiar with the project and will provide continuity in completion of the agreement in the best interests of the City.

12. A cost summary to include the original contract amount, the cost of each modification to date (list each modification separately), the cost of the modification being requested in the legislation, the estimated cost of any future known modifications and a total estimate of the contract cost.

Original Contract Amount:	\$ 347,999.58
Modification 1:	\$ 466,014.00
Modification 2 (current):	\$ 498,822.00
Total (Orig. + Mods. 1-2)	\$1,312,835.58

13. An explanation of how the cost of the modification was determined.

A Cost Summary was submitted by the Consultant and reviewed and approved by Department personnel.